

FORM PTO-1390 (REV 10-2000) <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C.371</b>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>ATTORNEY DOCKET NUMBER FHW-US</b>
INTERNATIONAL APPLICATION <b>PCT/GB00/02220</b>	INTERNATIONAL FILING DATE <b>19 June 2000 (19.06.00)</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/018978</b>
PRIORITY DATE CLAIMED <b>18 June 1999 (18.06.99)</b>		
TITLE OF INVENTION <b>OXIDATIVE CLEAVEAGE OF UNSATURATED OILS AND PRODUCTS OBTAINED THEREFROM</b>		
APPLICANT(S) FOR DO/EO/US <b>Mohammed Lokman KHAN, et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C.371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (<b>unexecuted (4 Sheets)</b>);</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11. to 16. below concern document(s) or information included:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (<b>2 sheets</b>) with Form PTO-1449 (<b>1 sheet</b>);</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment;</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information: <b>PCT International Published Application (WO 00/78699 A1) (with International Search Report) (30 sheets); International Preliminary Examination Report (6 sheets); Certificate of First Class Mailing (1 sheet); and Return Postcard.</b></li> </ol>		

U.S. APPLICATION NO. (If known, see 37 CFR 1)

1070189

INTERNATIONAL APPLICATION NO.  
PCT/GB00/02220ATTORNEY'S DOCKET NO.  
FHW-095US17.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) .(a/o November 1, 2000):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1040

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$890

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO .....\$740

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100

CALCULATIONS PTO USE ONLY

\$890.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00** for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	33-20 =	13	X \$18.00	\$234.00
Independent claims	10-3 =	7	X \$84.00	\$588.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 280.00	\$280.00

<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$

<input checked="" type="checkbox"/> <b>SUBTOTAL =</b>	\$2122.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$	

<input checked="" type="checkbox"/> <b>TOTAL NATIONAL FEE =</b>	\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+	\$

<input checked="" type="checkbox"/> <b>TOTAL FEES ENCLOSED =</b>	\$2122.00	
	Amount to be: refunded	\$
	charged	

a.  Check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

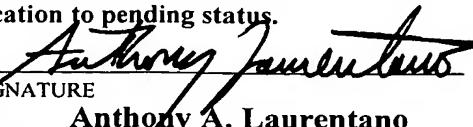
b.  Please charge my Deposit Account No. 12-0080 in the amount of \$ \$2122 to cover the above fees. A duplicate copy of this sheet is enclosed.

c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**Anthony A. Laurentano, Esq.**  
LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
United States of America  
(617)227-7400  
Date: 18 December 2001

  
SIGNATURE  
**Anthony A. Laurentano**  
NAME  
38,220  
REGISTRATION NUMBER

10/018978

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)

(National Phase of International Appln.: PCT/GB 00/02220,

Publication No. WO 00/78699 A1)

537 Rec'd PCT

18 DEC 2001

In re the  
application of: **Mohammed Lokmam KHAN, et al.**

International Application No.: **PCT/GB00/02220**

International Filing Date: **19 June 2000**

U.S. Serial No.: **Not Yet Assigned**

Filed: **Herewith**

For: **OXIDATIVE CLEAVAGE OF  
UNSATURATED OILS AND PRODUCTS  
OBTAINED THEREFROM**

Attorney Docket No.: **FHW-095US**

Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (4 sheets); Unexecuted Declaration, Petition and Power of Attorney (4 sheets); PCT International Published Application (WO 00/78699 A1) (with International Search Report attached) (30 sheets); Information Disclosure Statement (2 sheets); Form PTO-1449 (1 sheet); Preliminary Examination Report (6 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. **EL 892 198 405 US**, under 37 CFR 1.10 on the date indicated below and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date: 18 December 2001

Name: Ilidio P. Cardoso

Signature: 